

CFDTrack

WHO?

Established in 1997, FinTrack Systems Corporation has been developing technologies to help financial institutions (sell-side) better serve their clients (buy-side). FinTrack offers Internet/Intranet based solutions for multi-asset trading and portfolio management. FinTrack's systems allow institutions to provide their clients with powerful and intuitive products for automated trading, analysis and management of a broad range of instruments.

FinTrack offers a proven global realtime CFD order management product —CFDTrack—for the banks and broker/dealers enabling them to meet the trading, trade monitoring, position and risk management, and processing needs of their most demanding clients – today's increasingly sophisticated retail and institutional clients.

WHAT?

CFDTrack is a secure and firewall friendly browser-based global trading system that enables banks to provide a complete FIX/FIXML based CFD Order and Position management service to their clients. Retail and Institutional clients can manage CFDs, Stop Losses and Guaranteed Stop Losses (GSL) in realtime. White Labelled partners can monitor and manage their clients' positions without compromising their unique relationship to clients. CFDTrack provides real-time quotes, order executions and positions utilizing FinTrack's unique HTTPS push technology. Banks can manage customers and partners credit through the realtime Margin Engine.

Account Balance												
Market Value	Profit/Loss	Used Collateral	Allocated Collateral	Total Collateral	Available Cash	Total Cash						
40,000.00	-577.00	7,400.00	0.00	7,400.00	4,920,000.00	4,987,577.00						

Open Position													
Date	Order	CFD	Position	Quantity	Average Price	Last Price	Market Value	Profit/Loss	Used Collateral	SL	GSL	Stop Loss Level	Flag
15/03/2005	ABC	Long		1,000	1.150	1.130	-130.00	-34.00	113.00				
15/03/2005	IF	Stop		5,000	1.420	1.805	9,227.50	-1,327.00	927.70				
15/03/2005	3AS	Long		5,000	2.370	2.488	12,439.50	-589.50	2,423.95	✓		1.800	
16/03/2006	ILS	Long		6,000	1.620	1.787	10,722.00	-117.00	1,121.50	✓		1.100	10/03/2005

CFDTrack covers the following:

- CFDs for Global Equities
- CFDs with Stop Losses and GSLs
- Realtime Margin Engine & Stop Loss Monitor
- Realtime Collateral management & Automatic closeouts
- Complete Trade Life Cycle including Corporate Actions
- Market Watch: Level I, II. Alerts
- FIX/FIXML based OMS
- Multi-Currency Facilities
- XML interface for third party systems

HOW?

CFDTrack enables customers to send CFD orders to the broker/dealer and instantaneously monitor order status, positions and cash. Every order is checked for collateral and credit limit in realtime by the Margin Engine and then sent for execution. The system maintains inventories for short sales, GSLs and performs optional checks for limits on shorts, GSLs where required.

The margin engine continuously evaluates all customer accounts and notifies the system operator of any collateral breaches incurred by customer accounts. For accounts in breach, the system can automatically generate closeout positions for operator review and optionally close those positions automatically. The Stop Loss Monitor automatically closes out GSL positions when strike price is hit.

FinTrack

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The System provides real-time credit and limit checks by integrating with the bank's stock lending desk for short sales, Options desk for GSL limits, Cash management systems, middle office systems for credit and market risks. The EOD modules cover full account details, daily interest on holdings and corporate actions.

Reliance upon industry-standard technologies, such as Java, J2EE, CORBA, FIXML, and HTTPS, means that proprietary protocols, additional ports, or other intrusions into a client's environment are unnecessary.

WHY?

With *CFDTrack's* unique environment, bank's clients are able to securely communicate and execute trades. Organizations empowered with *CFDTrack* are able to:

- Provide clients with an industry proven trade management solution
- Facilitate global reach and connectivity
- Import and Export functionality that supports user-defined data formats
- Eliminate time, cost and risk of internal software development
- Prevent lost business and customers when markets get too busy for clients to get through
- Recover quickly from emergencies by maintaining communications and trading capabilities
- Leverage current infrastructure of both broker/dealer and client
- Economically and quickly implement connectivity to clients
- Raise the level of service to client base thereby increasing business, revenues & profits

FinTrack - CFD Trading System

File Administration Trading Tools Help

Account Balance

Market Value	Profit/Loss	Held Collateral	Allocated Collateral	Total Collateral	Available Cash	Total Cash
192,855.50	256.00	11,263.68	0.00	11,263.68	4,985,860.63	4,996,924.21

Open Position

Date Opened	CFD	Position	Quantity	Average Price	Last Price	Market Value	Profit/Loss	Held Collateral	SL	GSL	Stop Loss Level	Expiry
15/04/2005	ABC	Long	5,000	1.357	1.348	6,740.00	-46.00	674.00				
15/04/2005	CBA	Short	5,000	32.213	32.088	160,437.50	+629.00	8,021.88		✓	34.728	16/05/2005
15/04/2005	TEL	Long	5,000	5.201	5.136	25,678.00	-327.00	2,567.80	✓		4.120	

Stop Loss Close Position Amend Stop Loss Cancel Stop Loss

Orders

Status	Expiry	CFD	Order	Price	Quantity	Executed Qty	Remaining Qty	Collateral Required	SL	GSL	Stop Loss Level	Indicative	GSL Cost	Stop Lc
Parked	UTC	IIF	Buy	1.540	10,000	0	10,000		N/A	✓	1.222	N/A	N/A	
In Market	UTC	ABC	Sell	1.670	5,000	0	5,000		N/A		N/A	N/A	N/A	

New Order Release Amend Cancel

Watch List

Status	CFD	Bid \$	Offer \$	Last \$	Change \$	Change %	Open \$	High \$
Open	CBA	31.612	32.221	32.088	-0.952	-2.88	33.040	33.062
Open	GAS	2.292	2.358	2.335	+0.055	+2.40	2.280	2.507
Open	IIF	1.651	1.679	1.666	-0.154	-8.45	1.820	1.863
Open	ABC	1.334	1.362	1.348	+0.118	+9.59	1.230	1.352

Add Remove New Order Depth Add Alert

Trading Log

Time	Type	Message
16:52:27 15 Apr ...	ORDER_EXEC	Completed: Bought 5000 TEL @ \$5.2...
16:52:25 15 Apr ...	ORDER_EXEC	Market Order to Buy 5000 TEL incl St...
16:52:25 15 Apr ...	ORDER_EXEC	Created Stop Loss Position: 5000 TEL...
16:52:25 15 Apr ...	ORDER_EXEC	Market Order to Buy 5000 TEL incl St...
16:51:07 15 Apr ...	ORDER_EXEC	Completed: Sold 5000 CBA @ \$32.21...
16:51:05 15 Apr ...	ORDER_EXEC	Created Guaranteed Stop Loss Posi...
16:51:05 15 Apr ...	ORDER_EXEC	Market Order to Sell 5000 CBA incl G...
16:51:05 15 Apr ...	ORDER_EXEC	Market Order to Sell 5000 CBA incl G...
16:49:57 15 Apr ...	ORDER_EXEC	Limit Order to Buy 10000 IIF @ \$1.54...

Status Mani Pillai - Pillai Connected

WHERE?

In 2004, a global investment bank embarked on an ambitious undertaking – looking for key partners to help build its CFD portal, serving its retail clients and provide white labelled solutions to their partners. FinTrack Systems partnered with the bank to build and enhance *CFDTrack* and enabled the bank to successfully deploy the next generation trading platform.